

Politically Exposed Persons and/or Heads of International Organizations

THIS FORM IS APPLICABLE FOR UNIVERSAL LIFE AND NON-REGISTERED INVESTMENT PRODUCTS

OWNER 1

Name _____

Policy/Account No. (if available) _____

OWNER 2

Name _____

1 a) Is a premium and/or lump sum payment equal to or greater than \$100,000 being made or to be made? Yes No
 If the answer is **“yes”**, each Owner must complete 1 b) along with section 2; if “no”, complete section 5 only.

b) Where did you obtain the funds to be used to purchase this policy or to be deposited into this account?

2 Does the Owner, or Payor, their family members* or close associates* hold, or have any of them held any of the positions listed below in Section 3:

* (A **“family member”** means spouse or common-law partner, child, mother or father, mother or father of their spouse or common-law partner and child of their mother or father (sibling). A **“close associate”** means an individual who is closely connected for personal or business reasons).

a) Yes, each Owner to the best of their knowledge must complete sections 2 b), 3, 4 and 5.
 No, go to section 5 and sign form.

b) If **“yes”**, what is the source of your accumulated assets? (Please check as many boxes as are relevant)

Employment income (including self-employment)

Inheritance

Investments (including real estate)

Lottery winnings

Other _____

3 Please check as applicable:

Politically Exposed Foreign Person in a country other than Canada (living or deceased, current or ever held)

- Head of state or head of government
- Member of the executive council of government or member of the legislature
- Deputy minister (or equivalent rank)
- Ambassador or ambassador’s attaché or counsellor
- Military general (or higher rank)
- President of a state-owned company or bank
- Head of a government agency
- Judge of a supreme court, constitutional court or other court of last resort
- Leader or president of a political party represented in a legislature

Politically Exposed Domestic Person in Canada (living or deceased, current or held in the last 5 years)

- Governor general, lieutenant governor or head of government
- Member of the Senate or House of Commons or member of a legislature
- Deputy minister (or equivalent rank)
- President of a corporation that is wholly owned directly by His Majesty in right of Canada or a province
- Ambassador or ambassador’s attaché or counsellor
- military general (or higher rank)
- Judge of an appellate court in a province, the federal court or the Supreme court of Canada
- Leader or president of a political party represented in a legislature
- Mayor

Head of International Organization (HIO) (currently held)

- Head of an international organization established by the governments of states
- Head of an institution established by an international organization

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4 If you answered “**yes;**” to section 2 complete the following information for the Politically Exposed Person (domestic/foreign) or HIO:

Name of person: _____

Relationship to the Owner/Payor: _____ Title of the position: _____

Date position held/holding: From (DD/MM/YYYY) _____ To (DD/MM/YYYY) _____

Organization or institution: _____ Country: _____

For additional information on the terms used, visit www.fintrac.gc.ca.

5 By signing below, you (the Owner(s)) confirm that the information you have provided is current, correct and complete. You agree to notify ivari if any of the information changes in the future.

Signature of Owner 1

Date signed (DD/MM/YYYY)

Signature of Owner 2

Date signed (DD/MM/YYYY)

Please read ivari’s Privacy Policy at ivari.ca to understand how ivari handles your personal information. We may update this Privacy Policy from time to time.



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