

Dealer/Nominee Transfer Form

Use this form to transfer from:

- Dealer/Nominee to Dealer/Nominee
- Nominee-Name to Client-Name
- Client-Name to Nominee-Name

In this form, the terms “you, “your” and “owner” refer to the person who has policyholder’s rights under the contract. The term “we” refers to ivari.

PLEASE COMPLETE ALL SECTIONS

1 Contract Details THIS SECTION MUST BE COMPLETED IN FULL

Current Owner(s) or Beneficial Owner(s) Name(s):

Owner 1 Last name	First name
Owner 2 Last name	First name

Existing Policy Number

2 Transfer Request

FROM: Dealer/Nominee Name: _____ Dealer/Nominee Code: _____

Cross-Reference Number: (if applicable) _____

Plan Types:	Nominee Non-Registered	Nominee Registered (RRIF**)	Client RRSP*
	Nominee Registered (RRSP*)	Client Non-Registered	Client RRIF**

TO: Dealer/Nominee Name: _____ Dealer/Nominee Code: _____

Cross-Reference Number: (if applicable) _____

Plan Types:	Nominee Non-Registered	Nominee Registered (RRIF**)	Client-Name RRSP*†
	Nominee Registered (RRSP*)	Client-Name Non-Registered	Client-Name RRIF***†

* includes LRSP, LIRA, RLSP

** includes LIF, PRIF, RLIF, New Ontario LIF

† also requires completion of Plan Type Registration Change form (IP421) or Application to Convert a Registered Retirement Income Fund (RRIF) to a Registered Retirement Savings Plan (RRSP) form (IP1119) depending on the source of funds

COMPLETE ONLY IF THE TRANSFER IS TO CLIENT

Last name	First name	Initial(s)
Street address		Apt.
City	Province	Postal code
		Language preference English French
Home phone	Mobile phone	Business phone
Principal business or occupation	Industry***	Social insurance number (SIN)
		Date of birth (DD/MM/YYYY)
		Sex Male Female

***For a list of valid industries refer to <https://ivari.ca/tools-and-resources/administration/> and search for form number (IP-LP1971).

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If the transfer is to a corporate held non-registered account then the Policy Ownership and Corporate and Non-corporate entities or Trusts form (IP-LP1747) should be completed.

COMPLETE ONLY IF THE TRANSFER IS TO CLIENT NAME NON-REGISTERED PLANS

OWNER 1		OWNER 2	
YES	NO	YES	NO

Declaration of tax residency

Please answer the following four statements. Depending on your situation, you may answer “yes” to more than one.

a) I am a tax resident of Canada.

b) I am a tax resident or a citizen of the United States.

Please provide your taxpayer identification number (TIN) from the United States:

Owner 1 _____ Owner 2 _____

If you do not have a TIN from the United States, have you applied for one?

c) I am a tax resident in a country other than Canada or the United States.

If “yes,” to statement c), provide your country of tax residence and taxpayer identification numbers (TIN).

If you do not have a TIN for a specific country, give the reason using one of these choices:

Reason 1: I will apply or have applied for a TIN but have not yet received it.

Reason 2: My country of residence does not issue TINs to its residents.

Reason 3: Other reason, provide details.

d) Is a premium and/or lump sum payment equal to or greater than \$100,000 being made?

If the answer is “yes,” each Owner must complete the Politically Exposed Persons and Head of International Organization form (IP-LP1165)

OWNER 1

COUNTRY OF TAX RESIDENCE	TAXPAYER IDENTIFICATION NUMBER (TIN)	IF NO TIN, PROVIDE REASON 1, 2 OR 3

OWNER 2

COUNTRY OF TAX RESIDENCE	TAXPAYER IDENTIFICATION NUMBER (TIN)	IF NO TIN, PROVIDE REASON 1, 2 OR 3

3 Acknowledgment of current Nominee/Trustee

Complete if dealer/trustee is changing in a nominee registered plan.

The current dealer/trustee agrees to transfer all rights of the contract to the receiving dealer/trustee.

Authorized Signature of current Dealer/Trustee

Date signed (DD/MM/YYYY)

4 Beneficiary Change

Terms applicable to a transfer to a Client RRSP or Client RRIF policy:

- The death benefit will be paid to the estate if no beneficiary is designated.
- If a beneficiary is designated irrevocably, you cannot exercise certain contractual rights without the irrevocable beneficiary's consent. Minors named as irrevocable beneficiaries cannot give such consent.
- A contingent beneficiary has no rights as long as a primary beneficiary is living.

Where the (i) dealer/trustee is changed in a nominee registered plan, (ii) the transfer is from a client-named policy to a nominee registered plan; (iii) the transfer is from a nominee non-registered to a nominee registered plan, **the previously-designated beneficiary must be changed to "the trustee in trust for the beneficial owner"**.

New Beneficiary Designation: _____

In Quebec: If you name your married or civil union spouse as beneficiary, this designation is irrevocable unless you specifically indicate that the designation is revocable.

Where the transfer is to a Client-Name RRSP or Client-Name RRIF policy, please complete the section below, as applicable:

Last name		First name		Initial(s)	Date of birth (DD/MM/YYYY)	
Relationship to Annuitant (Relationship to Owner in Quebec)		Designation revocable irrevocable		Type primary contingent		Share of benefits %
Last name		First name		Initial(s)	Date of birth (DD/MM/YYYY)	
Relationship to Annuitant (Relationship to Owner in Quebec)		Designation revocable irrevocable		Type primary contingent		Share of benefits %

Trustee for minor beneficiaries (except for Quebec)

In Quebec: In the case of a minor beneficiary, the Death Benefit will be paid to the Tutor(s) of the minor.

Last name		First name		Initial(s)	Date of birth (DD/MM/YYYY)
Street address					Apt.
City	Province	Postal code	Relationship to minor		

Consent of the irrevocable beneficiary, if applicable.

The irrevocable beneficiary consents to the transfer in section 2 and acknowledges that by consenting to the transfer, all rights and benefits she/he may have previously under the contract will cease to apply. A minor irrevocable beneficiary cannot provide this consent.

Irrevocable Beneficiary Signature

Witness Signature

Date signed (DD/MM/YYYY)

Date signed (DD/MM/YYYY)

5 Acknowledgement of Owner or Beneficial Owner

Your signature below confirms that:

- The statements and answers in this form are true and complete.
- The transfer applies only to the full transfer of the contract.
- You understand that creditor protection may not be available if the contract is held in the name of the dealer/nominee/trustee.
- You designate the dealer/nominee as your agent and authorize us to accept instructions from the dealer/nominee to execute transactions, including purchases, surrenders and switches. We are not liable for following the instructions from the dealer/nominee.
- If your contract is held in nominee name, correspondence and other communication to you may, at the request of the dealer/nominee, be sent to the dealer/nominee.
- If there is any errors, omission or changes in the information in this form, you will inform ivari immediately.
- As the policy owner(s), I/we acknowledge that I/we have an obligation under the *Income Tax Act* to notify ivari of any changes in my/our tax residency status. I/We acknowledge that the information contained in this form and information regarding my/our policy, contract and account may be reported to Canada Revenue Agency (CRA).
- **Except in a nominee registered to a client non-registered transfer and a client registered to a nominee non-registered transfer, the contract maturity date, and deposit maturity dates, DSC, maturity and death benefits will remain unchanged.** In the two listed cases, the transfer will be processed as a withdrawal from and a purchase back into the contract. Guarantees are impacted. This transaction may trigger sales charges and is a taxable event.

Signature of Owner or Beneficial Owner

Signature of Owner or Beneficial Owner

Authorized Signature on behalf of Trustee
(nominee registered contracts only)

Date signed (DD/MM/YYYY)

Province

Date signed (DD/MM/YYYY)

Province

Date signed (DD/MM/YYYY)

Province

6 Advisor Information

Signature of Advisor

Date signed (DD/MM/YYYY)

GA/Dealer Code

SA/Rep Code

Advisor/Rep Name



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